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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM  
(only for new nonprovisional applications under 37 CFR 1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing, and Abstract, (94 pages)) of:

Inventor(s): **David M. Soderlund, Douglas C. Knipple, and Patricia J. Ingles**

For : **INSECT SODIUM CHANNELS FROM INSECTICIDE-SUSCEPTIBLE AND  
INSECTICIDE-RESISTANT HOUSE FLIES**

*\*\*If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☐ continuation ☒ divisional ☐ Continuation-In-Part (CIP)  
of prior application Serial No. 08/772,512

Prior application information: Examiner : J. LeGuyader  
Art Unit : 1635

Enclosed are:

- ☒ Submission of Formal Drawings with 7 sheets of formal drawings.
- ☐ **Signed** Combined Declaration and Power of Attorney (\_\_\_\_ pages).
- ☒ **Copy** of **signed** Combined Declaration and Power of Attorney (2 pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ **Signed** statement deleting inventor(s) named in prior application (\_\_\_\_ pages) (1.63(d)(2) and 1.33(b)).
- ☒ **Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (\_\_\_\_ pages) of the invention to \_\_\_\_\_.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☒ Verified statement to establish small entity status (2 pages) (copy filed in prior application).

- ☒ Preliminary Amendment (3 pages).
- ☒ Information Disclosure Statement, form PTO-1449 (3 pages) and no references.
- ☐ **UNSIGNED** Combined Declaration and Power of Attorney (\_\_\_\_\_ pages).
- ☒ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

The Filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)	SMALL ENTITY		OR	LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE	XXXXXXXX	XXXXXXXX	XXXX	\$380	OR	XXXX	\$760
TOTAL CLAIMS	18 - 20 =	0	x 9 =	\$0	OR	x 18 =	\$
INDEP CLAIMS	1 - 3 =	0	x 39 =	\$	OR	x 78 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			x 130 =	\$0	OR	x 260 =	\$
*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2			TOTAL	\$380	OR	TOTAL	\$

- ☒ A check in the amount of **\$380.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

Michael L. Goldman  
NIXON PEABODY LLP  
Clinton Square, P.O. Box 1051  
Rochester, New York 14603

Date: 10/28/99

Dennis M. Connolly  
Dennis M. Connolly  
Registration No. 40,964

NIXON PEABODY LLP  
Clinton Square, P.O. Box 1051  
Rochester, New York 14603  
Telephone: (716) 263-1741  
Facsimile: (716) 263-1600

#3



**EXPRESS MAIL CERTIFICATE**

DOCKET NO.: **19603/606 (CRF D-1657B)**  
APPLICANTS: **David M. Soderlund, Douglas C. Knipple, and Patricia J. Ingles**  
TITLE: **INSECT SODIUM CHANNELS FROM INSECTICIDE-  
SUSCEPTIBLE AND INSECTICIDE-RESISTANT HOUSE  
FLIES**

Certificate is attached to the copy of the **Verified Statement Claiming Small Entity  
Status (2 pages)** as filed in the prior application of the above-named application.

EXPRESS MAIL NUMBER: **EL434571524US**

DATE OF DEPOSIT: **October 28, 1999**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, Box: Patent Application.

**Ruth R. Smith**  
(Typed or printed name of person  
mailing paper or fee)

*Ruth R. Smith*  
(Signature of person mailing paper  
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Certificate is attached to the **Submission of Formal Drawings with 7 sheets of  
drawings** of the above-named application.

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Certificate is attached to the **Statement in Accordance with 37 CFR § 1.821(f)**  
**(1 page)** of the above-named application.

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Certificate is attached to the **Utility Patent Application Transmittal Letter**  
**(2 pages)** of the above-named application.

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TITLE: INSECT SODIUM CHANNELS FROM INSECTICIDE-SUSCEPTIBLE AND INSECTICIDE-RESISTANT HOUSE FLIES

Certificate is attached to the **Information Disclosure Statement (1 page)** and **PTO-1449 Form (3 pages)** (in duplicate) with no references of the above-named application.

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Certificate is attached to the **Preliminary Amendment (3 pages)** of the above-named application.

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Prior application information: Examiner : J. LeGuyader  
Art Unit : 1635

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Registration No. 40,964

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Telephone: (716) 263-1741  
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**EXPRESS MAIL CERTIFICATE**

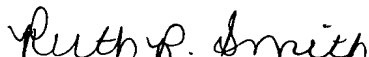
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